

J1052 U.S. PTO  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		<b>Attorney Docket No.</b>	83000.946C2/P2867C2
		<b>First Inventor</b>	HERMAN et al.
		<b>Title</b>	METHOD AND APPARATUS FOR ANALYZING DATA
		<b>Express Mail Label No.</b>	EL705174413US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
See MPEP chapter 600 concerning utility patent application contents.			
<p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <b>19</b>] (Preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets <b>9</b> ]</p> <p>5. Oath or Declaration [ Total Pages <b>2</b> ]           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>			
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p>			
<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)) (copy from prior app.)</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <b>Supp. Util. App. Transmittal ; Change of Firm Name and Address</b></p>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No.: **09, 318,480**

Prior application information: Examiner Hua, L.

Group Art Unit: **2131**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	-22804 (Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> Correspondence address below
Name	Gary A. Hecker, Esq.		
	THE HECKER LAW GROUP		
Address	1925 Century Park East, Suite 2300		
City	Los Angeles	State	California
Country	USA	Telephone	(310)286-0377
Name (Print/Type)	Gary A. Hecker		Registration No. (Attorney/Agent) <b>31,023</b>
Signature			Date <b>5/3/01</b>

Burden Hour Statement: This form is estimated to take about 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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05/03/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

SUPPLEMENTAL UTILITY PATENT APPLICATION TRANSMITTAL

Sir:

This is a request for filing a

Continuation application  
 Divisional application

under 37 CFR 1.53(b), of pending prior application

Serial No. 09/318,480

filed: May 25, 1999

of HERMAN et al.

for: METHOD AND APPARATUS FOR ANALYZING DATA

1. Please enter the preliminary amendment, enclosed herewith.

2. Please cancel claims \_\_\_\_\_.

3. Please amend the Specification by inserting after the title, the sentence:  
--This is a continuation of application Serial No. 09/318,480 filed  
May 25, 1999 which is a continuation of application Serial No. 08/939,755 filed  
September 29, 1997.--

4. The prior application is assigned to: SUN MICROSYSTEMS, INC.

5. The Power of Attorney in the prior application is to:

Gary A. Hecker et al.  
THE HECKER LAW GROUP  
(previously Hecker & Harriman)

(a) The Power appears in the original papers of the prior application.

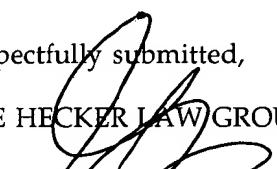
(b) Since the Power does not appear in the original papers, a copy of the Power in  
the prior application is enclosed.

X

(c) Recognize as associate attorney and address all future communications to:

Gary A. Hecker  
**THE HECKER LAW GROUP**  
 1925 Century Park East, Suite 2300  
 Los Angeles, California 90067  
 (310) 286-0377

- X 6. It is hereby requested that any request for a convention priority made in the prior application be transferred to the present application.
- 7. Applicant hereby petitions for an extension of time of \_\_\_\_\_ pursuant to Rule 1.136, if one is needed, for the above-noted prior application. A duplicate copy of this sheet is enclosed for filing in the proper application file.
- 8. The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication, or credit any overpayment, to Deposit Account Number 08-1520. A duplicate of this authorization is enclosed.

Respectfully submitted,  
  
**THE HECKER LAW GROUP**

Date: May 3, 2001

By: GARY A. HECKER  
 Reg. No. 31,023  
Inventor(s)  
Assignee of Complete Interest  
X Attorney of Record in prior application.

1925 Century Park East  
 Suite 2300  
 Los Angeles, California 90067  
 (310) 286-0377

**CERTIFICATE OF MAILING**  
*I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (Label No. EL705174413US) in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231, on May 3, 2001.*

Mary O'Neil 5-3-01  
 Signature Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of )  
 )  
 HERMAN et al. )  
 )  
 Serial No.: Unassigned )  
 )  
 Filed: Herewith )  
 )  
 Title: METHOD AND APPARATUS FOR )  
 ANALYZING DATA )  
 )

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CHANGE OF FIRM NAME  
and ADDRESS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please note that the undersigned's former firm name "Hecker & Harriman" has been changed to **THE HECKER LAW GROUP**. This is a change in name only, not in the entity of record.

The firm name should appear on all issued patents as follows:

THE HECKER LAW GROUP

Also, please note our current address.

THE HECKER LAW GROUP  
1925 Century Park East, Suite 2300  
Los Angeles, California 90067

Please update all records and direct all correspondence to:

Gary A. Hecker, Esq.  
THE HECKER LAW GROUP  
1925 Century Park East, Suite 2300  
Los Angeles, California 90067

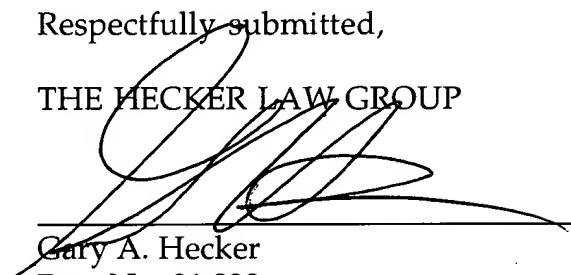
The telephone number and fax number remain the same.

Telephone No.: (310) 286-0377  
Fax No.: (310) 286-0488

Respectfully submitted,

THE HECKER LAW GROUP

By:

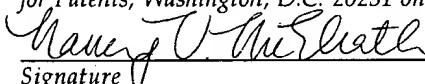
  
Gary A. Hecker  
Reg. No. 31,023

Date: May 3, 2001

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Los Angeles, California 90067  
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 5-3-01  
Signature Date